

ON PROJECTIONS AND SIMULTANEOUS EXTENSIONS*

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ABSTRACT

Let X_1, X_2 be subspaces of a completely regular space X . The bounded linear extension of $C(X_1 \cap X_2)$ into $C(X)$ are related to the projections of norm < 3 from $C(X_1) + C(X_2)$ onto $C(X)$.

1. Introduction. $C(X)$ denotes the Banach space of all bounded continuous real-valued functions on a topological space X , with the supremum norm. If B is a subspace of X , a *simultaneous extension* is a linear operator E from $C(B)$ to $C(X)$, such that for each f in $C(B)$, Ef is an extension of f . If R denotes the restriction operator of $C(X)$ to $C(B)$, then a simultaneous extension is a linear right inverse of R .

When a bounded simultaneous extension exists, $C(B)$ is isomorphic to the subspace $EC(B)$ of $C(X)$, and $P = ER$ is a projection (all "projections" in this paper are linear and bounded) of $C(X)$ onto this subspace.

If X is metric and B is closed in X , then there exists a simultaneous extension E of $C(B)$ to $C(X)$ with norm 1 [2]. In the general case a bounded simultaneous extension may fail to exist: Let $X = \beta N$ (the Stone-Čech compactification of the discrete sequence N), and $B = \beta N - N$. As proved in [1], $C(\beta N - N)$ is not isomorphic to a direct factor of $C(\beta N)$.

Corson and Lindenstrauss [4], found recently, for every $k \geq 1$, a pair $B \subset X$ of compact Hausdorff spaces, such that there is a simultaneous extension of $C(B)$ to $C(X)$ with norm k , but no one with smaller norm.

Another simple relation between projections and simultaneous extensions was observed by Dean [3]: Let $C_0(X, B)$ denote the subspace of $C(X)$ of functions vanishing on B . If E is a bounded simultaneous extension of $C(B)$ to $C(X)$, then $I - ER$ is a projection of $C(X)$ onto $C_0(X, B)$. If R has a bounded (not necessarily linear) right inverse Q on $C(B)$ (e.g. when B is closed and X is normal—by Tietze's theorem), the converse is also true: If P is such a projection, define $E = Q - PQ$. E does not depend on the choice of Q (if Q' is another right inverse of R , then $(Q - PQ) - (Q' - PQ') = (Q - Q') - P(Q - Q') = 0$), and is a bounded linear extension.

In this paper we study a less immediate relation between projections and simultaneous extensions: Suppose we have two pairs: $B_1 \subset X_1, B_2 \subset X_2$ and a homeo-

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morphism h of B_1 onto B_2 . We can “paste” the spaces X_1 and X_2 along the B_i by identifying all the points s in B_1 with the corresponding hs in B_2 , the quotient space X having the quotient topology. $C(X)$ is naturally identified as a subspace of $C(X_1) \oplus C(X_2)$. The theorem relates projections of $C(X_1) \oplus C(X_2)$ onto $C(X)$ to simultaneous extensions from $C(B)$ to $C(X)$, where B is the image of the B_i under the quotient map. This is done for the case where the B_i are closed and nowhere dense, the X_i —completely regular, and there exist norm preserving extensions Q_i (not necessarily linear) of $C(B_i)$ to $C(X_i)$ ($R_i Q_i$ is the identity on $C_i(B_i)$) ($i = 1, 2$).

2. THEOREM. (In the conditions specified above) if P is a projection of $C(X_1) \oplus C(X_2)$ onto $C(X)$ and $\|P\| < 3$, then there is a simultaneous extension E of $C(B)$ to $C(X)$, with $\|E\| < (\|P\| - 1)/(3 - \|P\|)$.

Proof. For f in $C(B)$ define $W_1 f$ as the restriction of $P(0 \oplus Q_2 f)$ to X_1 ($f_1 \oplus f_2$ denotes the function which is f_1 on X_1 and f_2 on X_2). $W_1 f$ is independent on the choice of Q_2 —if Q'_2 is another extension, then $P(0 \oplus Q_2 f) - P(0 \oplus Q'_2 f) = P[0 \oplus (Q_2 - Q'_2)f] = 0 \oplus (Q_2 - Q'_2)f$ vanishes on X_1 . W_1 is a linear operator from $C(B)$ to $C(X_1)$; $R_1 W_1$ is a linear operator from $C(B)$ into itself. Define W_2 symmetrically. For each f in $C(B)$ we have:

$$(1) \quad (R_1 W_1 + R_2 W_2)f = R[P(Q_1 f \oplus 0) + P(0 \oplus Q_2 f)] = R(Q_1 f \oplus Q_2 f) = f.$$

We shall give now some bounds for $W_i f$: Let f be in $C(B)$ with $\|f\| \leq 1$, and x in B . Let $\varepsilon > 0$ be arbitrary. In the open set $U = \{s \in X_1 - B; |Q_1 f(s) - f(x)| < \varepsilon\}$ choose a point t that satisfies also: $|P(Q_1 f \oplus 0)(t) - P(Q_1 f \oplus 0)(x)| < \varepsilon$. Take a function g in $C(X)$ such that $0 \leq g \leq 1$, $g(t) = 1$ and g vanishes out of U . Consider the function $F = (-Q_1 f \oplus Q_2 f) + [1 + f(x)]g$ which belongs to $C(X_1) \oplus C(X_2)$ and satisfies $|F| < 1 + \varepsilon$

$$\cdot (PF)(t) = (Q_1 f \oplus Q_2 f)(t) - 2P(Q_1 f \oplus 0)(t) + [1 + f(x)]g(t) =$$

$$1 + 2f(x) - 2W_1 f(x) + [Q_1 f(t) - f(x)] + 2[P(Q_1 f \oplus 0)(t) - P(Q_1 f \oplus 0)(x)]$$

by the choice of t , we have: $(1 + \varepsilon)\|P\| \geq (PF)(t) > 1 + 2f(x) - 3\varepsilon - 2W_1 f(x)$, and as ε was arbitrary, we can conclude (by symmetry) that

$$(2) \quad W_i f(x) \geq f(x) - \frac{1}{2}(\|P\| - 1) \text{ for all } x \in B; f \in C(B) \text{ with } |f| \leq 1 \text{ and } i = 1, 2.$$

Combining (1) and (2), we get also the upper bounds: $W_i f(x) < \frac{1}{2}(\|P\| - 1)$.

A very similar method gives us the same upper bound for $x \in X_i - B$: Take $g \in C(S)$ such that $0 \leq g \leq 1$, $g(x) = 1$ and g vanishes out of $\{s \in X_1 - B; |Q_1 f(s) - Q_1 f(x)| < \varepsilon\}$. Consider the function $F = (-Q_1 f \oplus Q_2 f) + [1 + f(x)]g$. $|F| < 1 + \varepsilon$ and $(PF)(x) = -(Q_1 f \oplus Q_2 f)(x) + 2W_1 f(x) +$

+ 2g(x) = 1 + 2W₁f(x), hence W₁f(x) ≤ ½(‖P‖ - 1) whenever |f| ≤ 1. Using (-f) instead of f, we get:

(3) |W_if(x)| ≤ ½(‖P‖ - 1) for all x ∈ X; f ∈ C(B) with |f| ≤ 1; i = 1, 2.
 If ‖f‖ = 1, sup { |W_if(x)| ; x ∈ B } ≥ sup { |f(x)| ; x ∈ B } - ½ (‖P‖ - 1) = ½(3 - ‖P‖) (by (2)), combining these results we get:

(4) 0 < ½(3 - ‖P‖) < ‖R_iW_i‖ < ‖W_i‖ < ½(‖P‖ - 1) < 1.

These bounds imply that R_iW_i are isomorphisms of C(B) into itself. We shall prove now that they are onto: Let f be in C(B). Consider the sequence: f₀ = 0, and for n > 0, f_n = f_{n-1} + (R₁W₁)(f - f_{n-1}). All the f_n are evidently in R₁W₁C(B). We prove by induction that f - f_n = (R₂W₂)ⁿf—this is obvious for n = 0, and for n > 0: f - f_n = (f - f_{n-1}) - (R₁W₁)(f - f_{n-1}) = (R₂W₂)(f - f_{n-1}) = (R₂W₂)ⁿf by ((1)). This implies that the f_n converge uniformly to f, and as R₁W₁C(B) is closed, f ∈ R₁W₁C(B). By symmetry we have also R₂W₂C(B) = C(B).

As R_iW_i is one-to-one, R_i must be one-to-one on W_iC(B), and this establishes a simultaneous extension E_i = R_i⁻¹ of C(B) to W_iC(B) ⊂ C(X_i).

Ef = E₁f ⊕ E₂f is a simultaneous extension of C(B) to C(X), its norm is, by (4), not larger than (‖P‖ - 1)/(3 - ‖P‖). Q. E. D.

3. REMARK. 1) If B is not empty, then necessarily ‖P‖ ≥ 2. If ‖P‖ = 2, then the extension E is norm preserving.

2) If we have bounded simultaneous extensions E_i of C(B) to C(X_i), a projection P of norm 1 + 2 ‖E₁‖ ‖E₂‖ / (‖E₁‖ + ‖E₂‖) exists: for f ⊕ g in C(X₁) ⊕ C(X₂), define:

$$P(f \oplus g) = [f + \{ \|E_2\| / (\|E_1\| + \|E_2\|) \} E_1 (R_1 f - R_2 g)] \\ \oplus [g + \{ \|E_1\| / (\|E_1\| + \|E_2\|) \} E_2 (R_2 g - R_1 f)].$$

If we have ‖E₁‖ = 1, then ‖P‖ = 1 + 2 ‖E₂‖ / (1 + ‖E₂‖) hence ‖E₂‖ = (‖P‖ - 1)/(3 - ‖P‖). This shows that the bound in the theorem is the best.

3) The projection in 2) can be defined also when ‖E₂‖ = ∞, and we get a projection of norm 1 + 2 ‖E₁‖, If ‖E₁‖ = 1 (e.g. X₂ = βN, B₂ = βN - N, X₁ = [βN - N] × [0, 1] and B₁ = [βN - N] × {0}), we get a projection of norm 3, but there is no bounded simultaneous extension of C(B) to C(X). "Reflecting" by an open and closed subset of βN - N, we get an example where a projection of norm 3 exists, but there is no bounded simultaneous extension of C(B) to any of the C(X_i).

4) In the symmetric case—when h can be extended to a homeomorphism H of X_1 onto X_2 , we get easily a better extension: $W_1f + W_2fH$. Its norm is $\|P\| - 1$. Conversely, for an extension E , the projection defined in (2) has norm $\|E\| + 1$.

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